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*TA 10/624,975*

Sheet 1 of 3

FORM PTO-1449 (SUBSTITUTE)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))				Attorney Docket No.: Divisional of Appl. No. GR 98 P 8182 D 09/465,285  Applicant Reinhold Noe et al.  Filing Date of Divisional Group Art Unit July 22, 2003 2872			
EXAMINER INITIALS	PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE	
<i>TA</i>	A 5,739,943	04/98	Ohshima et al.				
<i>TA</i>	B 5,757,984	05/98	Hatori				
C							
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FOREIGN PATENT DOCUMENT							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES   NO	
<i>TA</i>	J 198 30 990 A1	01/00	Germany				
<i>TA</i>	K 6-308438	11/94	Japan				
L							
M							
N							
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
<i>TA</i>	Fred Heismann et al.: "Integrated-Optical Single-Sideband Modulator and Phase Shifter", IEEE Journal of Quantum Electronics, Vol. QE-18, No. 4, April 1982, pp. 767-71.						
<i>TA</i>	R.C. Alferness et al.: "Low Loss, Wavelength Tunable, Waveguide Electro-Optic Polarization Controller for $\lambda = 1.32 \mu\text{m}$ ", Appl. Phys. Lett., Vol. 47, No. 11, December 1, 1985, pp. 1137-39.						
EXAMINER	DATE CONSIDERED						
<i>FAYEZ ABBAS</i>				<i>7/19/04</i>			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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	J						
	K						
	L						
	M						
	N						

#### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

<i>DS</i>	Fred Heismann: "Integrated-Optic Polarization Transformer for Reset-Free Endless Polarization Control", IEEE Journal of Quantum Electronics, Vol. 25, No. 8, August 1989, pp. 1898-1906.
<i>TR</i>	H. Herrmann et al.: "Integrated Optical, Acoustically Tunable Wavelength Filters and Switches and their Network Applications", ECIO'93, University Paderborn, Germany.

EXAMINER <i>FAYEZ ABBAS</i>	DATE CONSIDERED <i>7/19/04</i>
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#### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

<i>FJ</i>	R. Noe et al.: "Fiber-Based Distributed PMD Compensation at 20 GB/S", ECOC'98, September 20-24, 1998, Madrid, Spain.
<i>FJ</i>	F. Heismann et al.: "Electro-Optically Tunable, Narrowband Ti:LiNbO <sub>3</sub> , Wavelength Filter", Electronics Letters, May 7, 1987, Vol. 23, No. 11, pp. 572-74.

EXAMINER <i>FAYEZ ASSAF</i>	DATE CONSIDERED <i>7/19/04</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	